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## Technique of Defending Against Network Flooding Attacks Using a Connectionless Protocol

### Technical Field

The invention relates generally to the field of networking and specifically to defending against attacks by malicious users attempting to disable a server by flooding the server with connectionless datagrams.

### Background of the Invention

*Sub a' >*  
~~Flooding attacks have recently been used with increasing frequency to target and disable servers on the Internet. A flooding attack occurs when a user sends a large number of~~

requests to a server in a relatively short period of time with  
an intent to overload and thereby disable the server. A flood  
of packets from a malicious user can overload a server in the  
same way that a flood of packets from a misconfigured system  
can overload a server. But the end result is the same; the  
server becomes overloaded in trying to service the requests.  
5 This prevents legitimate requests from being timely served and  
often disables a server or causes it to crash. A number of  
flooding attacks have been reported in the news recently on  
some well known web sites. These attacks were characterized  
10 by a flood of individual connection requests to establish  
initial communications. A related patent application, serial  
number \_\_\_\_\_ discloses an algorithm to defend against such  
connection request attacks. However, it is also possible to  
attack a server by flooding it with connectionless datagrams,  
15 such as might occur in the UDP (user datagram) protocol. The  
effect is essentially the same; the server becomes overloaded  
in trying to service the horde of datagrams and can even  
become totally disabled. Flooding attacks are very difficult  
20 for traditional intrusion detection systems to prevent due to  
the difficulty of determining whether the traffic is  
~~legitimate or not.~~

#### Summary of the Invention

The invention recognizes that the consequences of  
25 intentional datagram flooding attacks and unintentional

overload situations resulting from a burst of datagrams can be  
mitigated by dropping the traditional notion of attempting to  
distinguish between legitimate and illegitimate traffic. In  
the invention, all datagram traffic is subject to a policy  
5 that attempts to guarantee that legitimate work will be  
performed and a server will not crash in flooding situations,  
irrespective of whether the flooding is caused by legitimate  
or illegitimate datagram traffic. The invention helps to  
prevent a server from crashing due to overload and it prevents  
10 one or more attackers from consuming all server resources.

In response to the arrival of a datagram destined for a  
specified port on a server, the transmitting host is  
determined and the number of datagrams already queued for the  
same host and for the same port is determined. If this number  
15 exceeds a first threshold, the request for a connection is  
denied.

The first threshold is dynamically determined in the  
preferred embodiment. The owner of a server specifies for  
20 each port that is subject to datagram flooding checks a  
maximum number of queued datagrams ( $M$ ) allowed at any time to  
the port and a controlling percentage ( $P$ ) of available queue  
slots remaining for the port. The invention keeps track of  
the number ( $A$ ) of queued datagrams for the port and it  
25 calculates the number of available queue slots ( $I$ ) by  
subtracting the number of queued datagrams from the maximum

number of datagrams ( $I = M - A$ ). If the number of datagrams already queued for the transmitting host is equal to or greater than  $P$  times the number of queue slots left ( $\Rightarrow P*I$ ), then the present datagram is refused. Otherwise, the datagram is queued and the number of queued datagrams ( $A$ ) for the port is incremented by one.

The maximum number of datagrams and the threshold percentage  $P$  will be difficult for most owners to configure. Therefore, a "statistics" mode is provided that measures normal traffic loads of different servers and suggests an appropriate maximum and threshold that will not hamper similar legitimate traffic loads. This statistics mode is not part of the claimed invention and is not described further herein.

#### Brief Description of the Drawing

In the drawing:

Fig. 1 shows an illustrative flowchart of operations executed at a server in response to the receipt of a datagram to ensure that a flooding situation does not prevent the completion of other work and does not crash the server.

#### Detailed Description

The invention requires that an owner of a server that

uses the invention configure the server with certain parameters for use by the invention. By way of example, the preferred embodiment requires that the owner specify for each port number subject to datagram flooding checks a maximum number of datagrams (M) allowed at any time to be queued to the port and a controlling percentage (P) of available queue slots remaining for the port. The percentage P is used to establish a threshold to trigger the denial to service a datagram. As datagrams are queued and serviced, the server dynamically maintains the number of available queue slots for each port that is subject to flooding checks.

An entry is made to step 100 in Fig. 1 when a datagram is received at a network server. The first step 106 determines from the datagram the port number to which the datagram is directed. The port number contained in a datagram represents a destination within a given host computer to which the datagram is to be delivered. Some ports are reserved for standard services. For example, the Network File System (NFS) is one example of a standard service that receives UDP datagrams.

The identity (the IP address) of the sending host is also determined from the datagram. The port number is used by step 108 to locate a memory control block for the port or to create one if a port control block does not presently exist.

Attached to the port control block are a plurality of host

control blocks for hosts that presently have one or more datagrams in queue. If the sending host does not have a host control block, one is created. A host control block contains, among other things, a count of the port connections presently assigned to the host.

Step 108 determines from the datagram the identity of the transmitting host that initiated the datagram and it uses the port number and the host identity to locate a memory control block or it creates a memory control block if one does not presently exist. An existing memory control block contains, among other things, a count of the number of datagrams presently queued by the host. Step 108 determines the port number to which this datagram is directed.

At step 110, the server fetches from the memory control block the maximum number of queued datagrams M specified for this port number, the controlling percentage P and the number A of queued datagrams. Step 112 calculates the number I of available queue slots as  $M - A$ . Step 114 determines if the number of datagrams already queued to the transmitting host is equal to or greater than P times I. If so, then the datagram is discarded and the queuing algorithm exits at 118. On the other hand, if the number of queued datagrams already initiated by the transmitting host is less than P times I, the datagram is queued for service at step 116 and A is incremented by one to update the number of datagrams in queue for this port number.

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The computer program that has been described can be executed on virtually any type of computer, ranging from personal computers to large mainframes such as IBM's System 390 machines. The only requirement is that the computer is configured with network communication software and is accessible as a server via a network.

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Skilled artisans in the fields to which the invention pertains will recognize that numerous variations can be made to the embodiments disclosed herein and still remain within the spirit and scope of the invention.